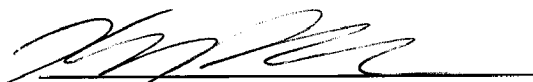


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this document is being electronically filed with the U.S. Patent and Trademark Office via the EFS Web on March 10, 2009.



Kristofer M. Biskeborn
Reg. No. 62,024

In re application of:
Tully et al.

Serial No.: 10/692,067

Filed: October 22, 2003

For: System and Method for the Automated
Brokerage of Financial Instruments

:
:
:
:
:
:
:
:
:
:

Examiner: Zecher, Michael R.

Group Art Unit: 3691

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Amendment and Response B:

In response to the Office Action dated December 11, 2008, Applicant submits the following remarks.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 13 of this paper.